# University of Houston Office of Emergency Management

Continuity of Operations Planning Program (COOP)

Liaison VEOCI Meeting/Training

Presented by:

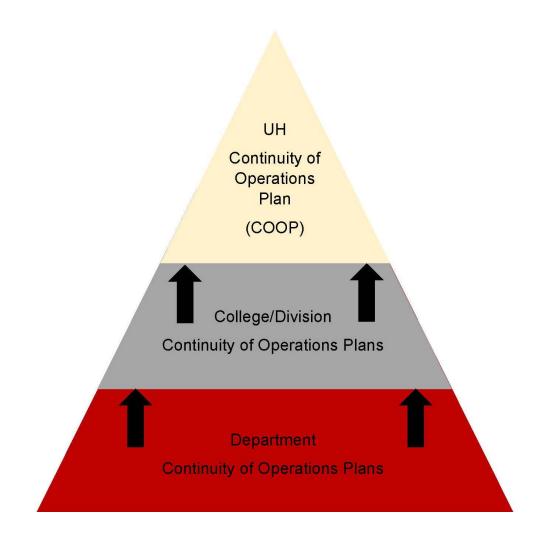
Ginger Walker

Welcome Kenneth Cochran!



### **UH COOP Program Layout**







#### **Authority - Policy and Leadership**



- State Office of Risk Management Requirement
- Texas Administrative Code and Texas Labor Code
- Internal Audit Department Review
- Best Practices
- University of Houston Policy, MAPP 06.01.02 Continuity of Operations Planning:
  - Overview and Policy Statement
  - Office of Emergency Management Responsibilities
  - College/Division Responsibilities
  - Individual Department Responsibilities
  - College/Division Continuity Planning Liaison Responsibilities
  - Continuity Planning Leadership Group Responsibilities



#### Responsibilities



#### MAPP 06.01.02 – Continuity of Operations Planning

#### Office of Emergency Management Responsibilities:

- Creating the UH COOP
- COOP Coordinator
- Chair the UH COOP Leadership Group
- Exercising the COOP
- Creating & Maintaining the COOP Program
- Following SORM Requirements
- Completing Business Impact Analysis



#### Responsibilities (cont.)



#### **MAPP 06.01.02 – Continuity of Operations Planning**

#### **College/Division Responsibilities:**

- Assigning College/Division COOP Liaison
- Ensuring a College/Division Level COOP has been completed
- Ensuring plan has been executed and submitted by June 1st to OEM via Sharepoint site



#### Responsibilities (cont.)



#### **MAPP 06.01.02 – Continuity of Operations Planning**

#### **College/Division Liaison:**

- Serve as Liaison for respective College/Division
- Ensure all departments complete the COOP
- Ensure all Department COOP's are approved and submitted to OEM by June 1st
- Ensure information in COOP has been reviewed and prioritized for use in the College/Division level
   Plan
- Ensure that the College/Division level Plan has been complete, approved (Dean/VP) and submitted to OEM by June 1st



#### **COOP Template for Departments**



- Department Information
- Department Leadership Succession
- Department Operational Function
- Department Objective 1-3
- Emergency Communication
- Department Contact During Emergency
- Emergency Access to Information Systems
- Essential Functions (Functions and Personnel)

- Emergency Relocation Needs
- External/Internal Dependencies
- Vulnerability/Risk Assessment and Mitigation Strategy
- Non-Essential Personnel
- Exercising Your Plan
- Resumption of Normal Operations
- Approvals



#### Plan Approval and Deadlines



#### **Approvals:**

- Department Plans
  - Department Approver
  - College/Division COOP Liaison Acknowledgement
  - College/Division Plans

Dean or Appropriate VP College/Division COOP Liaison Acknowledgement



- Authorization of Essential Functions and Personnel
- Authorization Non-Essential Personnel needing access when campus is closed
- Acknowledgement of All Critical Content

# **Deadline: June 1st!**







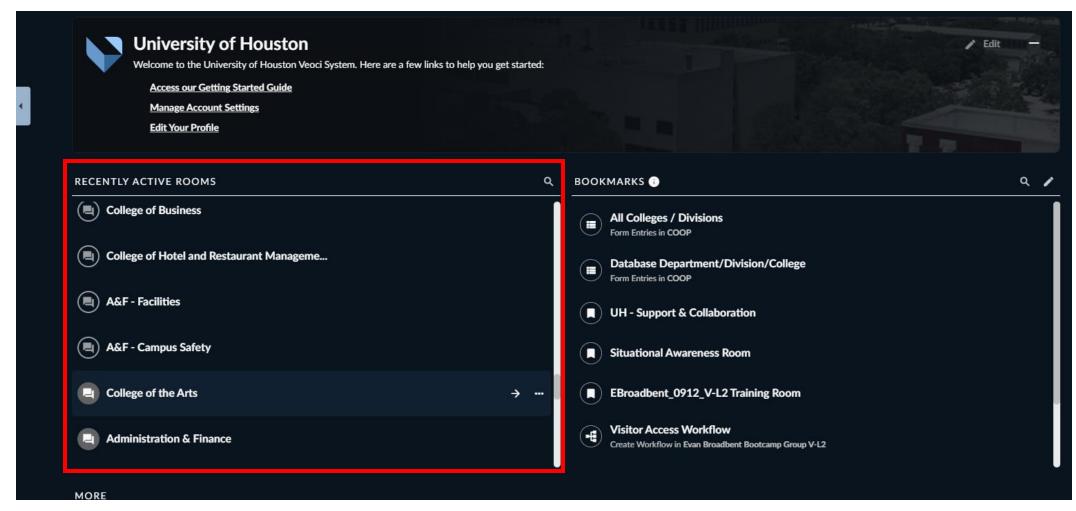


# Initiating Department Level Plan



#### College/Division Dashboard

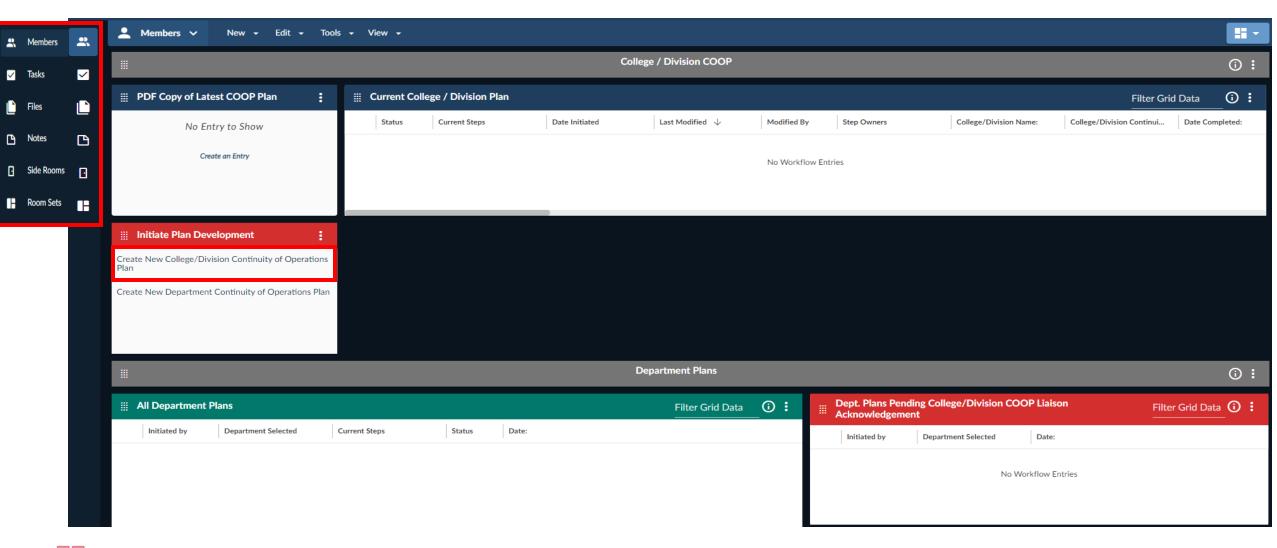






#### College/Division Dashboard

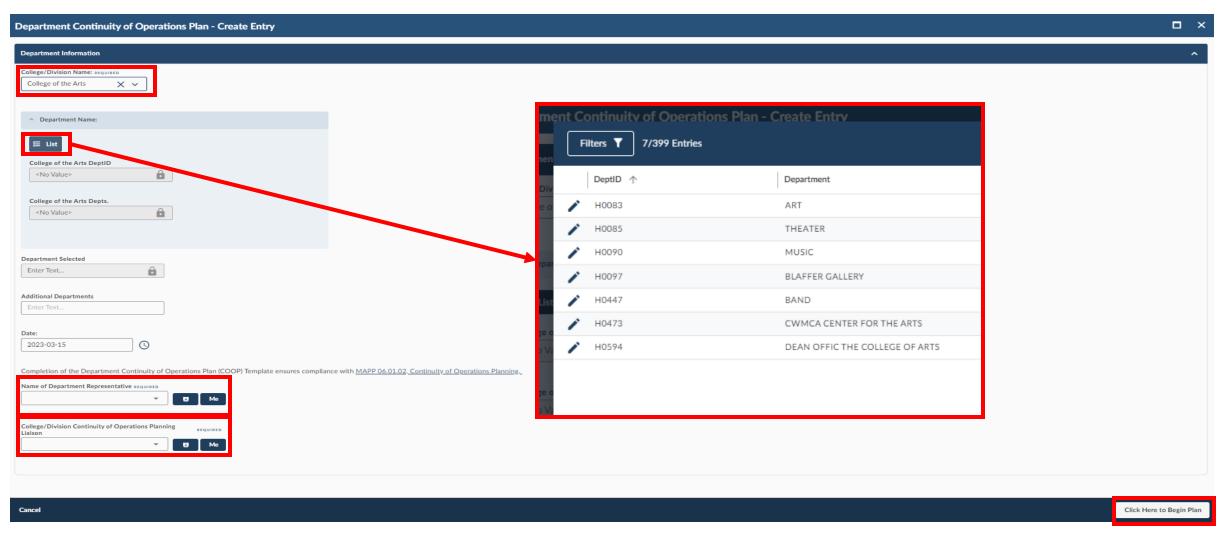






#### College/Division Plan Assignment









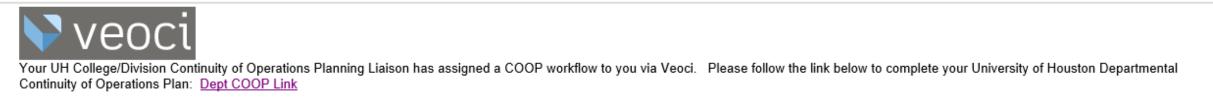
# Department LEVEL ENTRY



#### **Department COOP Invitation**



- Initial Veoci COOP Invitation will be received via email like the one shared below:
- College level Liaison will assign each College/Division lead to their certain COOP plan.

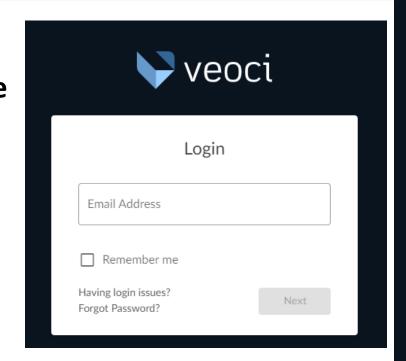


Veoci

If you have any concerns or questions, contact Ginger Walker at <a href="mailto:gkwalker@uh.edu">gkwalker@uh.edu</a>

Once the user clicks on the hyperlink for their plan, you will proceed to the Veoci website where you will log in with your registered UH email address and password.





	<b>veo</b>	Cl
<b>←</b>	Login	
	One Click Sign In  UH Single Sign-O	n
	Google	
Password		
Having logi Forgot Pass		Next



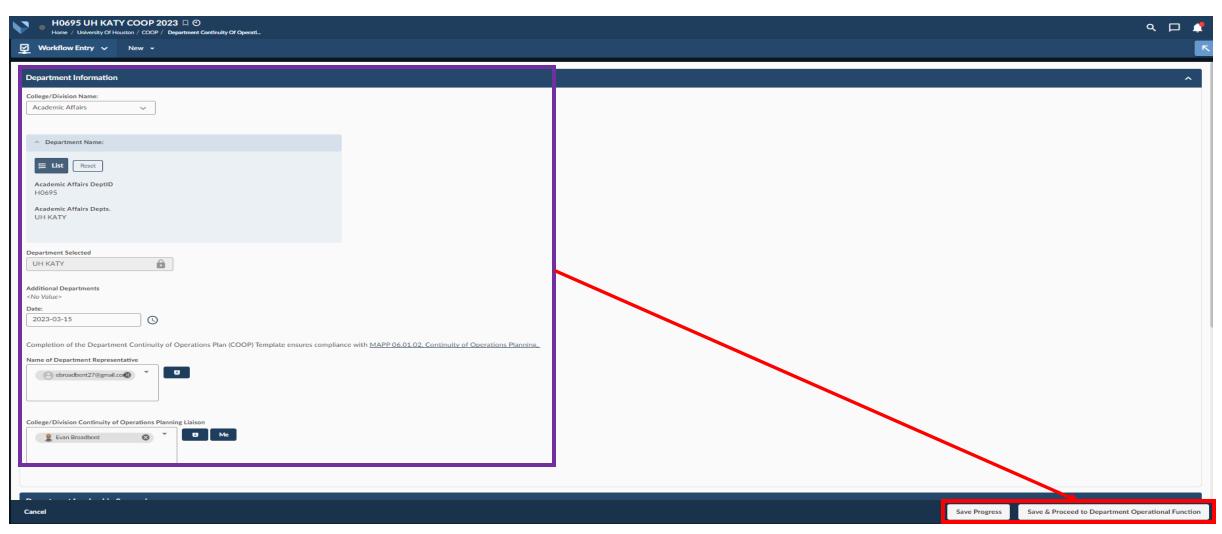
Department Representatives will have 2024 COOP Data available when completing their plans.

Department Representatives will simply update the already existing content from the 2024 year, which will help expedite the completion process.



#### **Department Information**







# Leadership

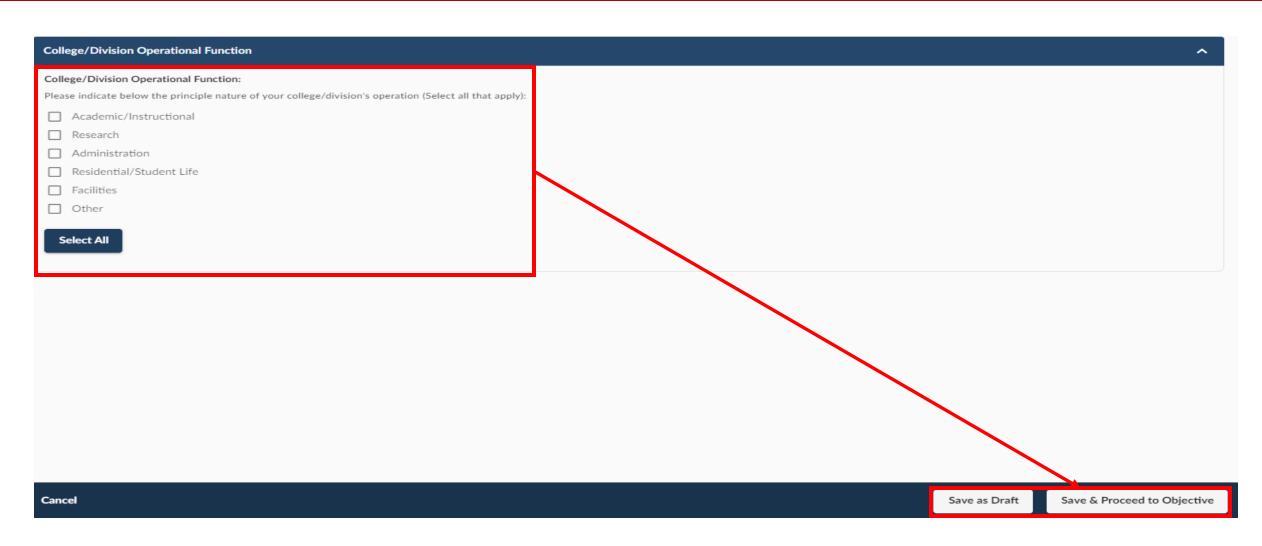


partment Leadership Succe	ssion		
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Primary			
Name	Title		
Enter Text	Enter Text	ī	
Secondary			
Name	Title		
Enter Text	Enter Text	ī N	
Tertiary			
Name	Title		
Enter Text	Enter Text	Ī	
cel			Save Progress



#### **Department Operational Function**

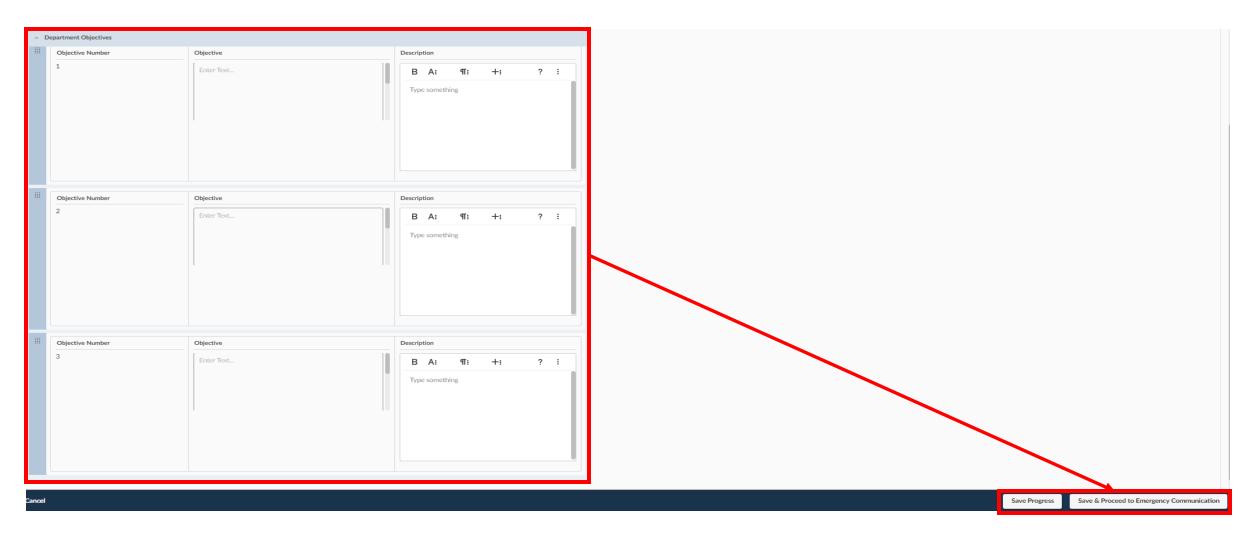






### **Department Objectives**







### **Emergency Communication**

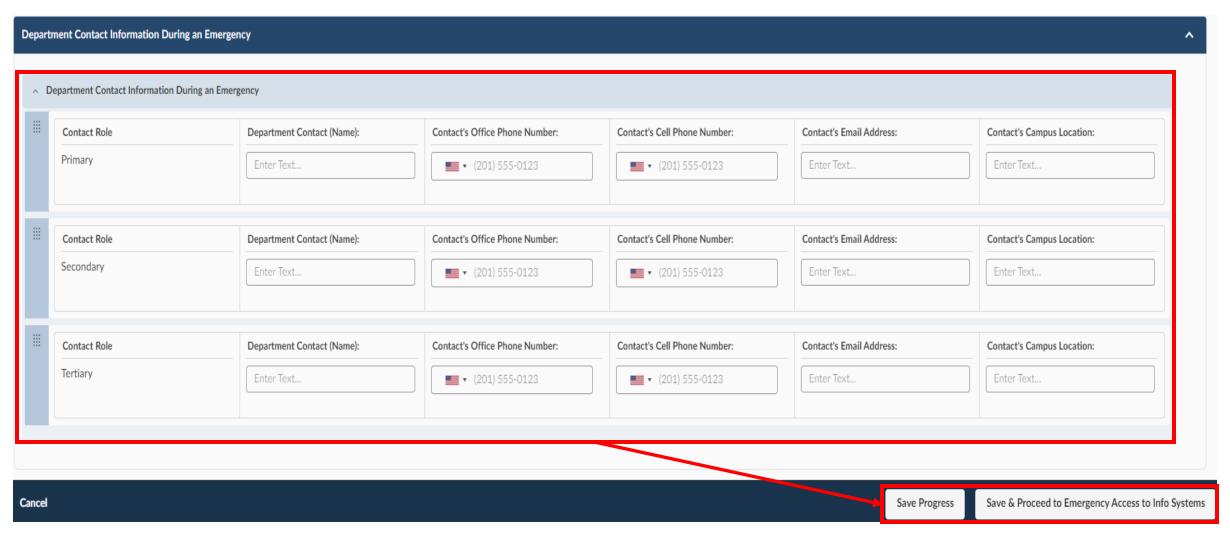


Emergency Communication	^
Emergency Communications: Employees are required to make every effort to stay informed of UH emergency information during an emergency. What methods will you use to communicate with your employees during an emergency? Select all that apply.	
Emergency Communications:	
☐ Phone (Call Tree)	
☐ Email	
☐ Text Message	
Radio Communication	
☐ Social Media	
Other	
Select All	
Cancel	ve Progress Save & Proceed to Dept Contact Information



#### Department Contact Info. During an Emergency







# **Emergency Access to Information Systems**



Emergency Access to Information Systems	^
Emergency Access to Information Systems: If access to department's information and systems is essential to the departments' operations in an emergency, it is vital to be able to easily access and maintain that information and systems. All data must be protected in Accordance with SAM 07.A.08, Data Classification and Protection.	
Indicate below the methods used by your department to store the information and systems that are essential to your department:	
UH Office 365 (SharePoint, Teams, One Drive)	
☐ Department/College/Division Server	
☐ Hosted Service (cloud)	
☐ UH Network File Share	
☐ Blackboard	
☐ PeopleSoft	
Other (please describe)	
Select All	
Cancel Save Progress Save & Proceed to Essential Function	tions



#### **Essential Functions & Personnel**



sential Functions (Functions and Personnel)		
^ Guidance		
University of Houston Essential Functions		
University Leadership: to provide support for the university and maintain leadership to mana Student Housing: to provide safe housing for students living in university residential facilities. Public Safety: to maintain the safety and security of all students, faculty, staff, visitors, facilities.	ies, research, intellectual property, research animals, public health and environmental hazardous components where feasible. ergency Alert Notification System, UH Police Dispatch System, executive leadership and media relations. y maintenance needs, access control and transportation. ons (payroll, purchasing, contracts) and critical data.	
epartment Essential Functions/Personnel:	of normal activities. Review the University of Houston Essential Functions listed above to determine if your department falls within an essential function of the	e university
	of normal activities. Review the Oniversity of Plouston Essential Functions listed above to determine if your department fails within an essential function of the	e university.
A Essential Functions		
Do you have essential functions within your department, area or unit?  Yes		
O No		

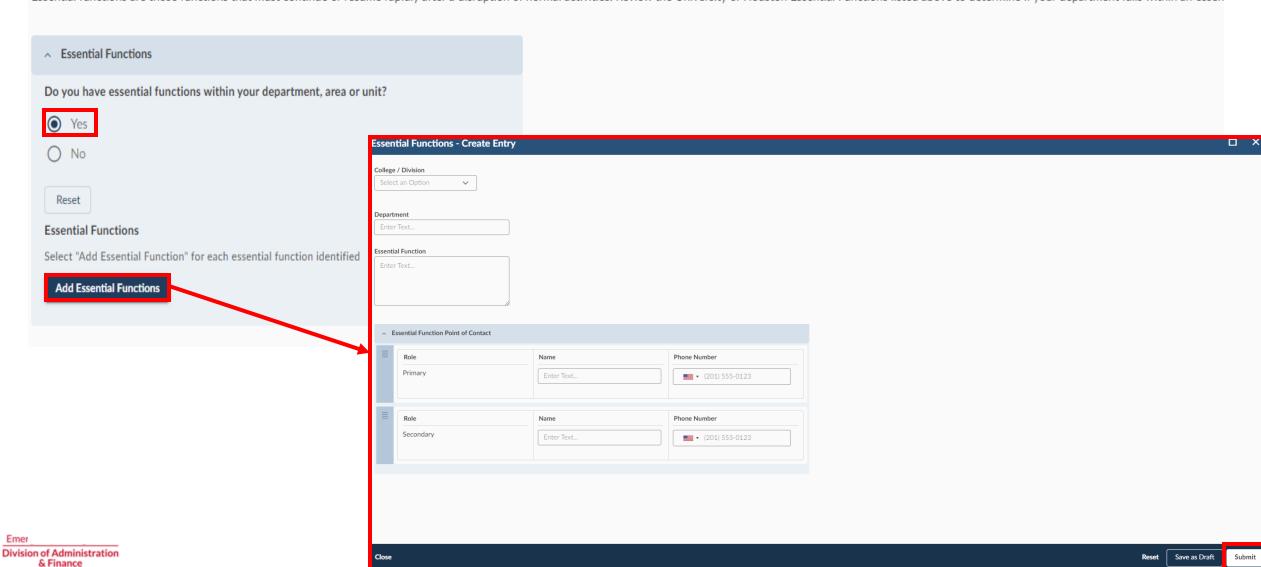


#### **Essential Functions (Add Essential Functions)**



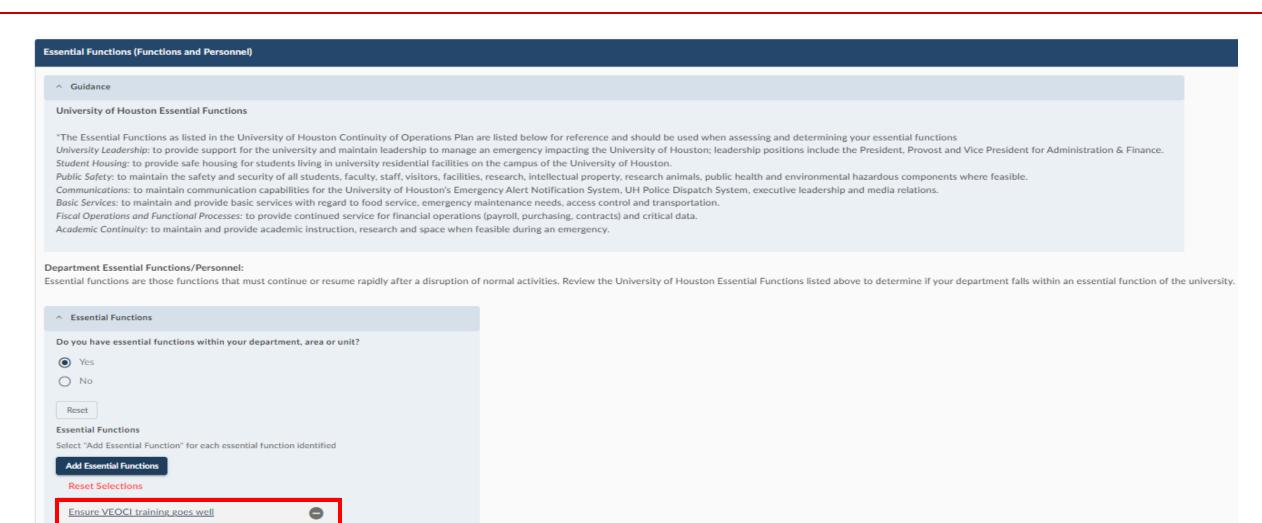
#### Department Essential Functions/Personnel:

Essential functions are those functions that must continue or resume rapidly after a disruption of normal activities. Review the University of Houston Essential Functions listed above to determine if your department falls within an essen



#### **Essential Functions (Add Essential Functions)**







#### **Essential Functions (Add Essential Personnel)**

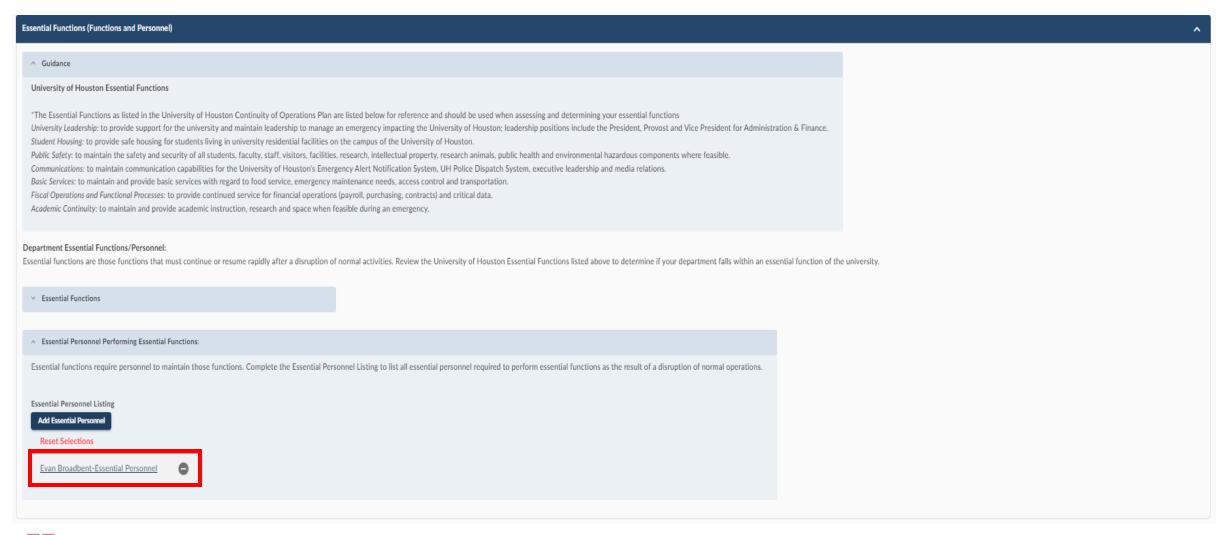
& Finance



A Essential Personnel Performing Essential Functions: Essential functions require personnel to maintain those functions. Complete the Essential Personnel Listing to list all essential personnel required to perform essential functions as the result of a disruption of normal operations. **Essential Personnel Listing** □ X (SF) Essential Personnel Listing And Non-Essential Personnel Needing Access - Create Entry Add Essential Personnel First Name Last Name College/Division Department Work Number **■** ▼ (201) 555-0123 Cell Number REQUIRED ■ (201) 555-0123 Essential Function(s) Peforming Location of Action Performed **Emergency Management** Close Save as Draft Division of Administration

#### **Essential Functions (Add Essential Personnel)**

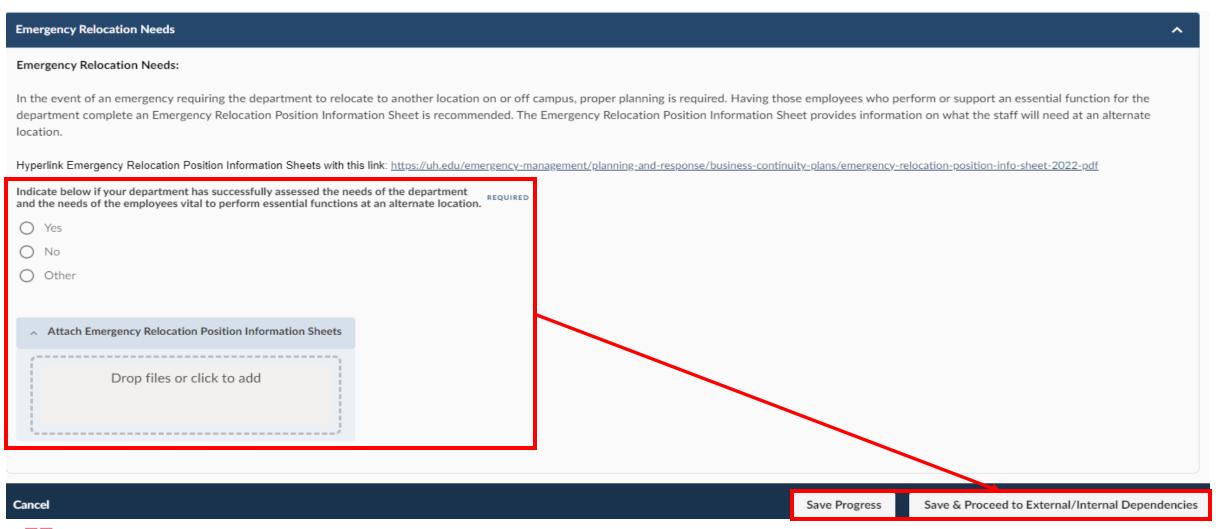






#### **Emergency Relocation Needs**







# **External/Internal Dependencies**

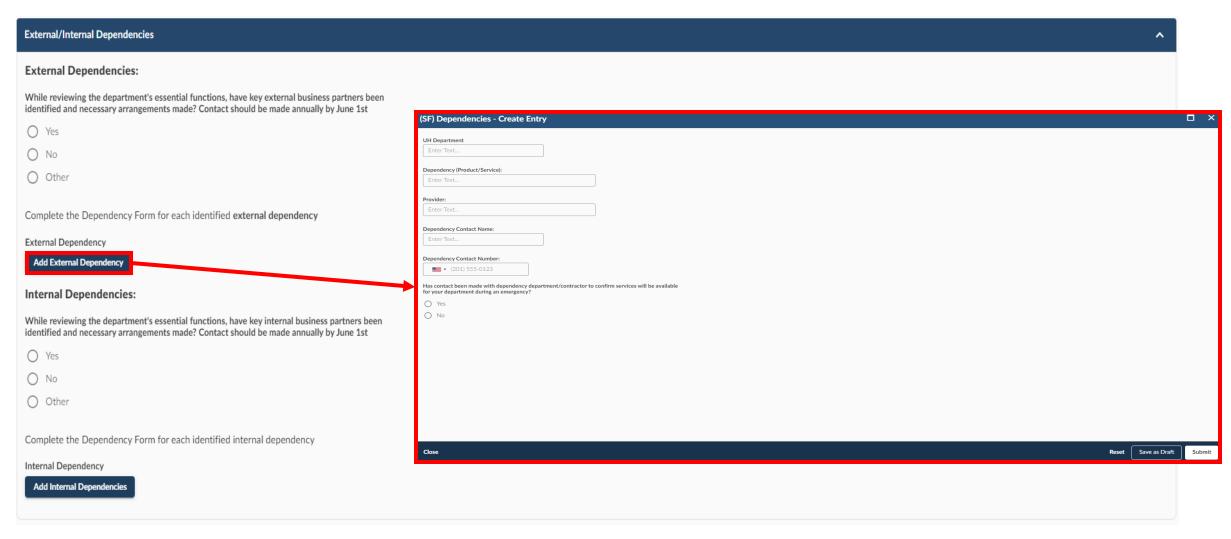


External/Internal Dependencies		^
External Dependencies:		
While reviewing the department's essential functions, have key external business partners been identified and necessary arrangements made? Contact should be made annually by June 1st		
○ Yes		
○ No		
Other		
Complete the Dependency Form for each identified external dependency		
External Dependency		
Add External Dependency		
Internal Dependencies:		
While reviewing the department's essential functions, have key internal business partners been identified and necessary arrangements made? Contact should be made annually by June 1st		
○ Yes		
○ No		
Other		
Complete the Dependency Form for each identified internal dependency		
Internal Dependency		
Add Internal Dependencies		
Cancel	Save Progress	Save & Proceed to Vulnerability / Risk Assessment



#### External/Internal Dependencies (External)

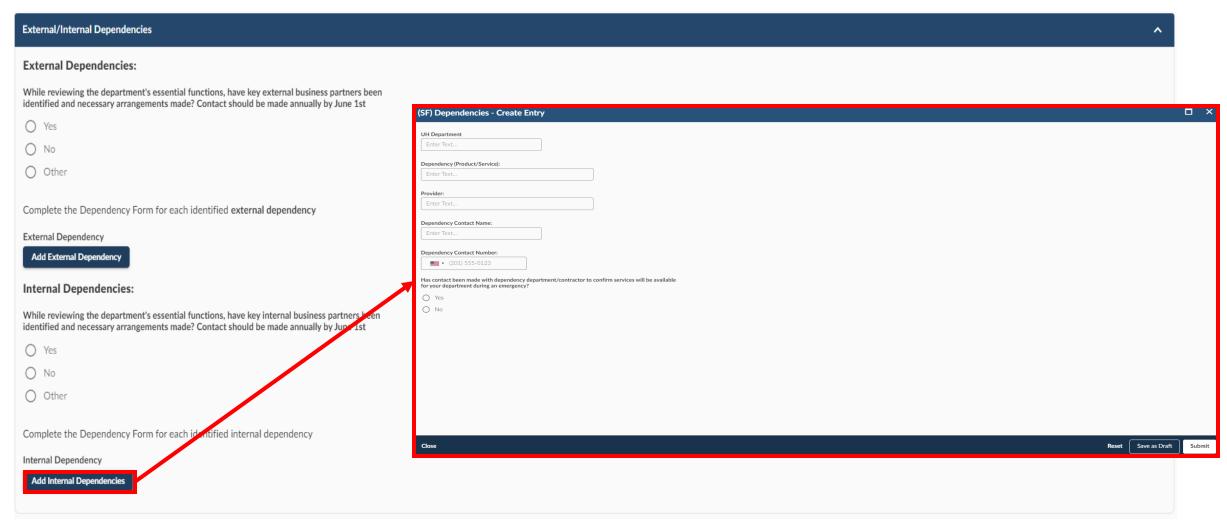






#### External/Internal Dependencies (External)







### **External/Internal Dependencies**

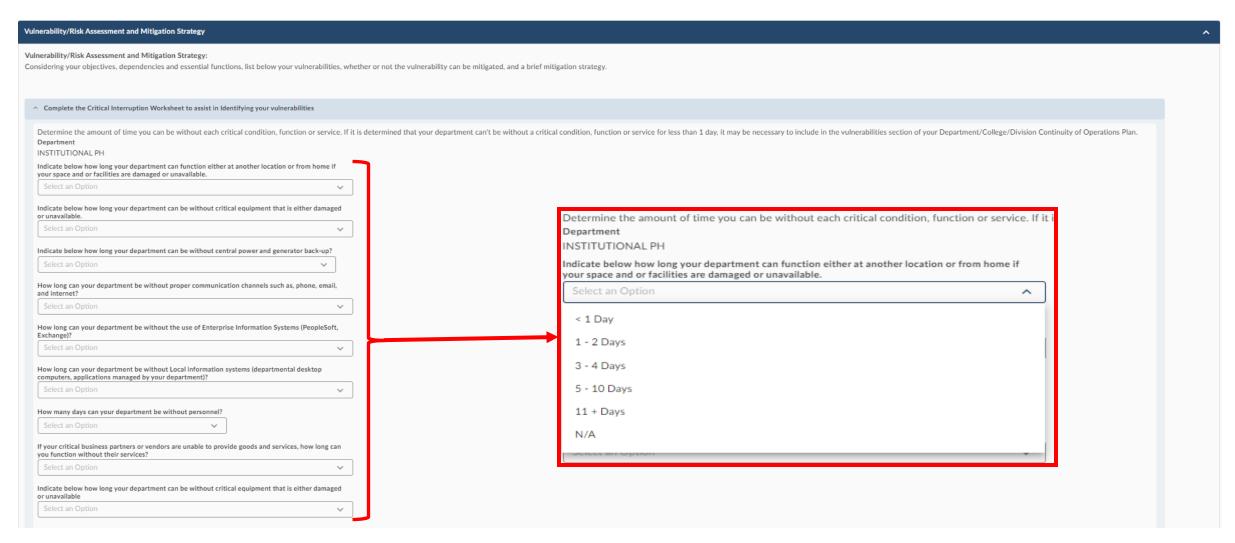


External/Internal Dependencies			^
External Dependencies:			
While reviewing the department's essential functions, have key external business partners been identified and necessary arrangements made? Contact should be made annually by June 1st			
○ Yes			
O No			
Other			
Complete the Dependency Form for each identified external dependency			
External Dependency			
Add External Dependency			
Internal Dependencies:			
While reviewing the department's essential functions, have key internal business partners been identified and necessary arrangements made? Contact should be made annually by June 1st			
○ Yes			
○ No			
Other			
Complete the Dependency Form for each identified internal dependency			
Internal Dependency			
Add Internal Dependencies			
Cancel	Save Pro	ogress	Save & Proceed to Vulnerability / Risk Assessment



#### Vulnerability/Risk Assessment and Mitigation Strategy

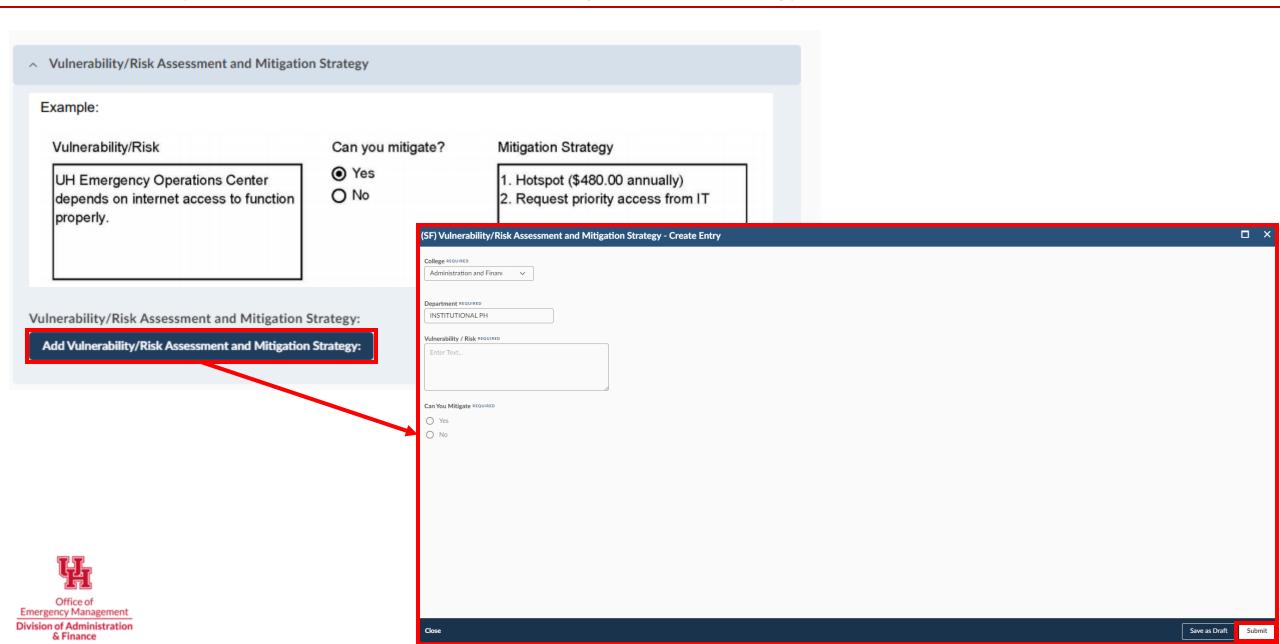






#### Vulnerability/Risk Assessment and Mitigation Strategy





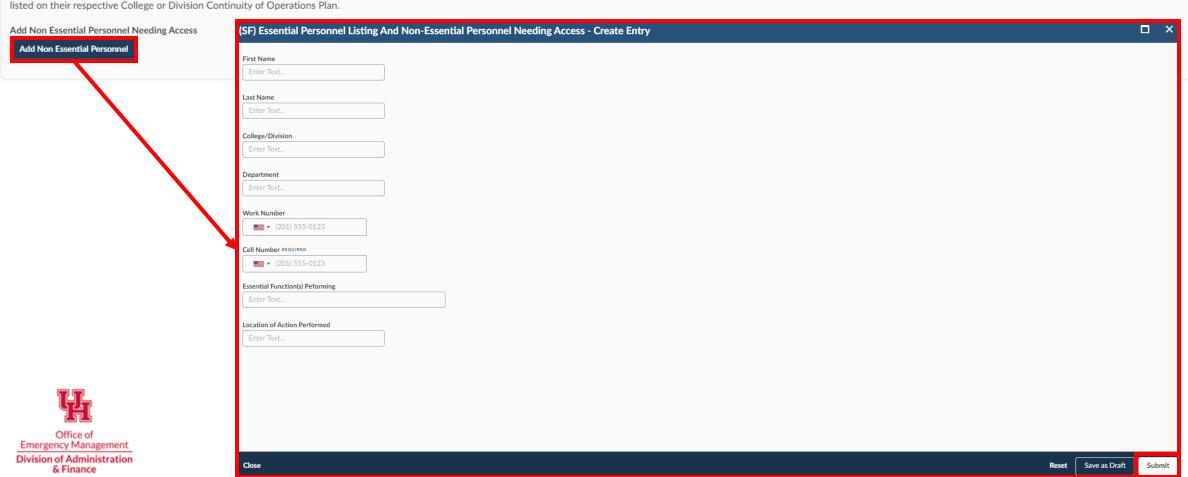
#### Non-Essential Personnel



#### **Approval for Non-Essential Personnel**

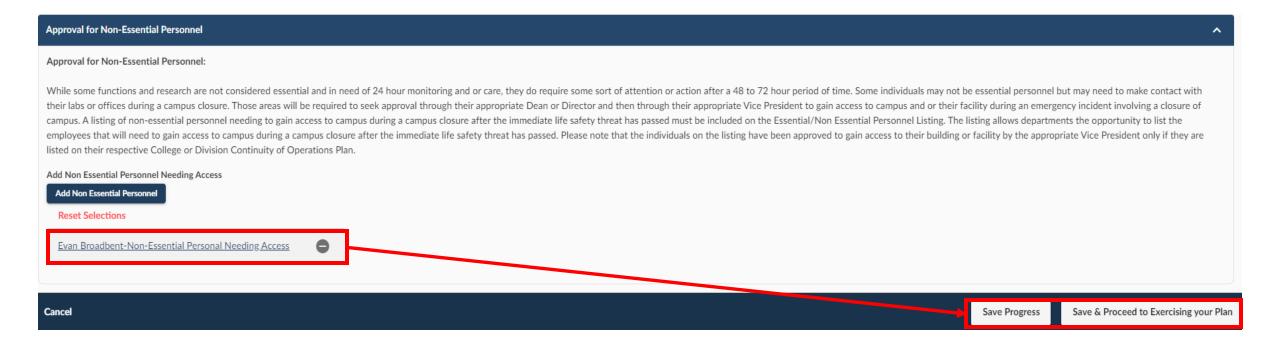
#### Approval for Non-Essential Personnel:

While some functions and research are not considered essential and in need of 24 hour monitoring and or care, they do require some sort of attention or action after a 48 to 72 hour period of time. Some individuals may not be essential personnel but may need to make contact with their labs or offices during a campus closure. Those areas will be required to seek approval through their appropriate Vice President to gain access to campus and or their facility during an emergency incident involving a closure of campus. A listing of non-essential personnel needing to gain access to campus during a campus closure after the immediate life safety threat has passed must be included on the Essential/Non Essential Personnel Listing. The listing allows departments the opportunity to list the employees that will need to gain access to campus during a campus closure after the immediate life safety threat has passed. Please note that the individuals on the listing have been approved to gain access to their building or facility by the appropriate Vice President only if they are listed on their respective College or Division Continuity of Operations Plan.



#### Non-Essential Personnel







# **Exercising Your Plan**

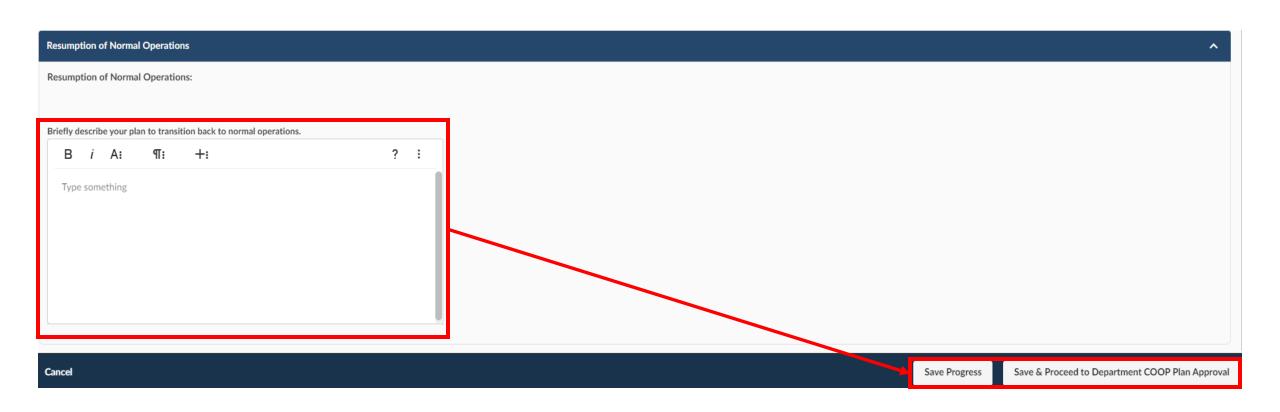


Exercising Your Plan		^
Exercising Your Plan:		
All department COOP's must be shared with all departmental staff. All departments are encouraged to complete an exercise of the plan annually.		
Select below the ways the department has/will exercise the plan (Select all that apply).		
Call Tree Drill		
☐ Seminar		
☐ Tabletop Exercise		
☐ Off Site Information Assessment Test		
☐ Other		
Select All		
Cancel	Save Progress	Save & Proceed to Resumption of Normal Operations



# **Resumption of Normal Operations**







# Department Continuity of Operations Plan Approval







## College/ Division Liaison Plan Review Email









#### A Department Continuity of Operations Plan Has Been Assigned To You for Acknowledgement

Hi Evan Broadbent,

A Department Continuity of Operations Plan has been assigned to you by Evan Broadbent in 20230214\_COOP Dry Run Test.

Please acknowledge it by clicking on this LINK



Department Continuity of Operations Plan Template
For Department Use

ID#: UH KATY



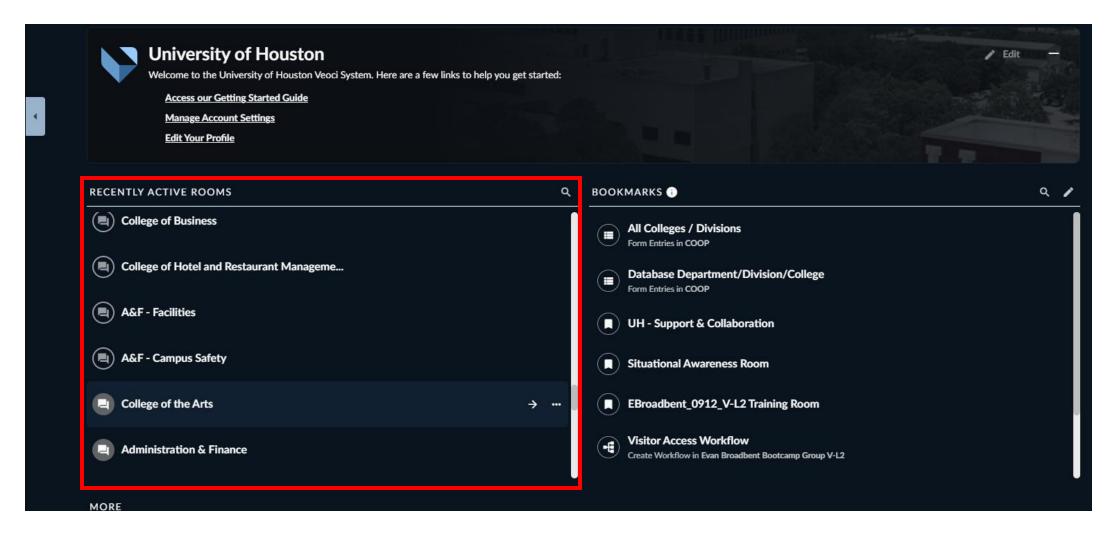


# College/Division LIAISON LEVEL ENTRY



## College/Division Dashboard

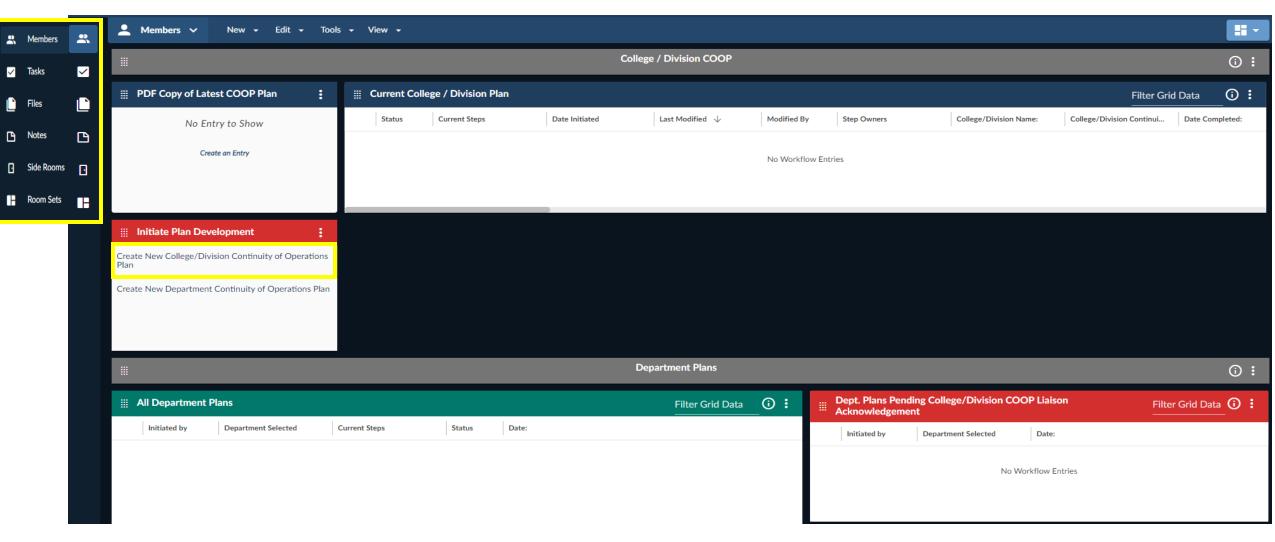






# College/Division Dashboard









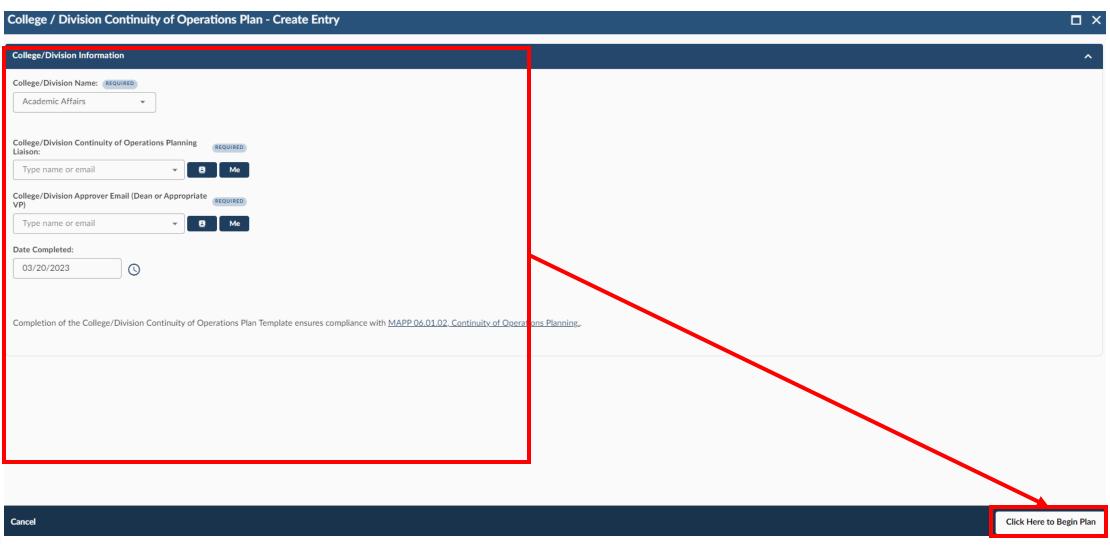
Liaisons will have 2024 COOP Data available when completing their plans.

Liaisons will simply update the already existing content from the 2024 year, which will help expedite the completion process.



# Leadership







# Leadership







# **Department Operational Function**

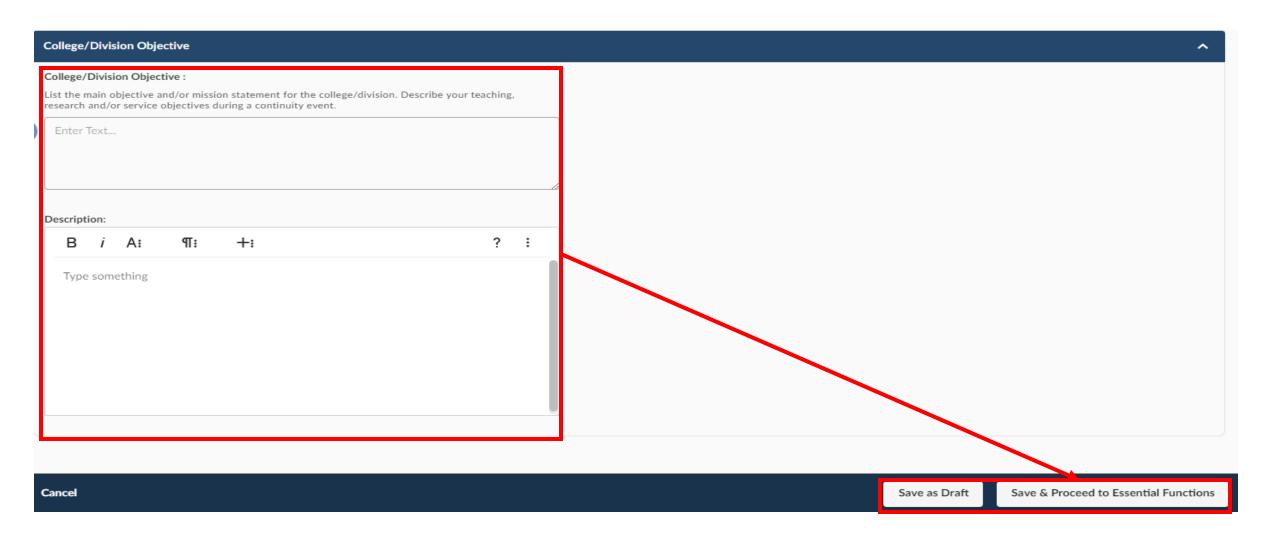


College/Division Operational Function		^
College/Division Operational Function:		
Please indicate below the principle nature of your college/division's operation (Select all that apply):		
☐ Academic/Instructional		
Research		
Administration		
Residential/Student Life		
☐ Facilities		
☐ Other		
Select All		
Select All		
ancel	Save as Draft	Save & Proceed to Objecti



# **Department Objectives**







#### **Essential Functions**

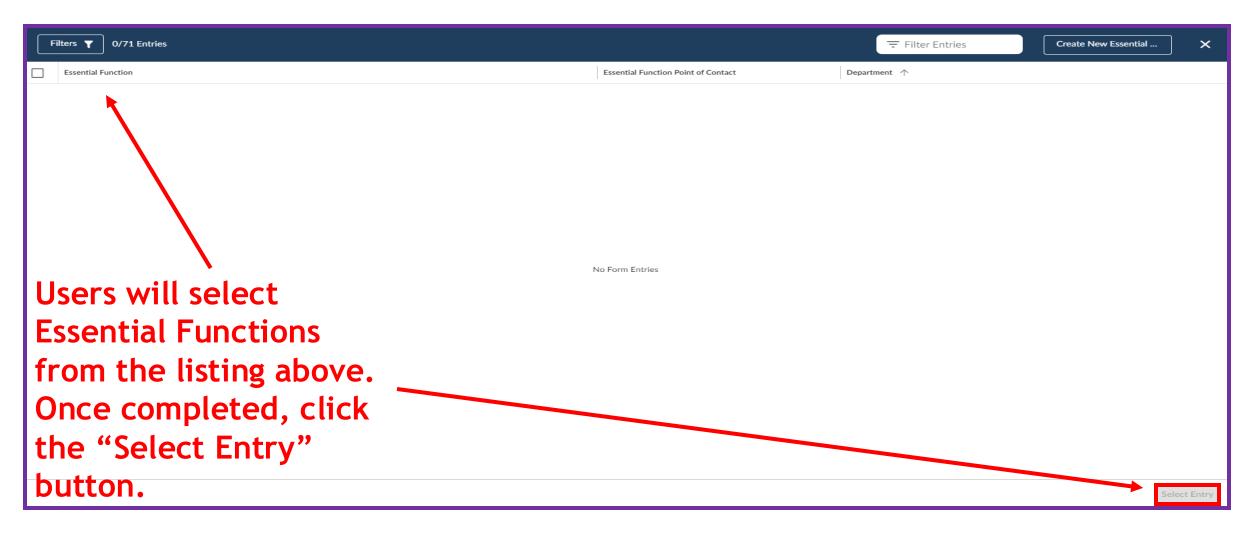


#### **Essential Functions (Functions and Personnel)** University of Houston Essential Functions \*The Essential Functions as listed in the University of Houston Continuity of Operations Plan are listed below for reference and should be used when assessing and determining your essential functions. University Leadership: to provide support for the university and maintain leadership to manage an emergency impacting the University of Houston; leadership positions include the President, Provost and Vice President for Administration & Finance. Student Housing: to provide safe housing for students living in university residential facilities on the campus of the University of Houston. Public Safety: to maintain the safety and security of all students, faculty, staff, visitors, facilities, research, intellectual property, research animals, public health and environmental hazardous components where feasible. Communications: to maintain communication capabilities for the University of Houston's Emergency Alert Notification System, UH Police Dispatch System, executive leadership and media relations. Basic Services: to maintain and provide basic services with regard to food service, emergency maintenance needs, access control and transportation. Fiscal Operations and Functional Processes: to provide continued service for financial operations (payroll, purchasing, contracts) and critical data. Academic Continuity: to maintain and provide academic instruction, research and space when feasible during an emergency. Essential Functions College/Division Essential Functions: Essential functions are those functions are those functions that must continue or resume rapidly after a disruption of normal activities. Review the University of Houston Essential Functions listed above to determine if your college/division falls within an essential function of the university. Use care to review and consider the essential functions listed in the department Continuity of Operations Plans. Do you have essential functions within your college/division? Yes - If yes, complete the sections below relating to Essential Functions O No - Select Save and Submit to Next Step Reset **Essential Functions** Select from List or add if not available Find Entries **Essential Functions Listing**



## Essential Functions (cont.)







#### **Essential Personnel**

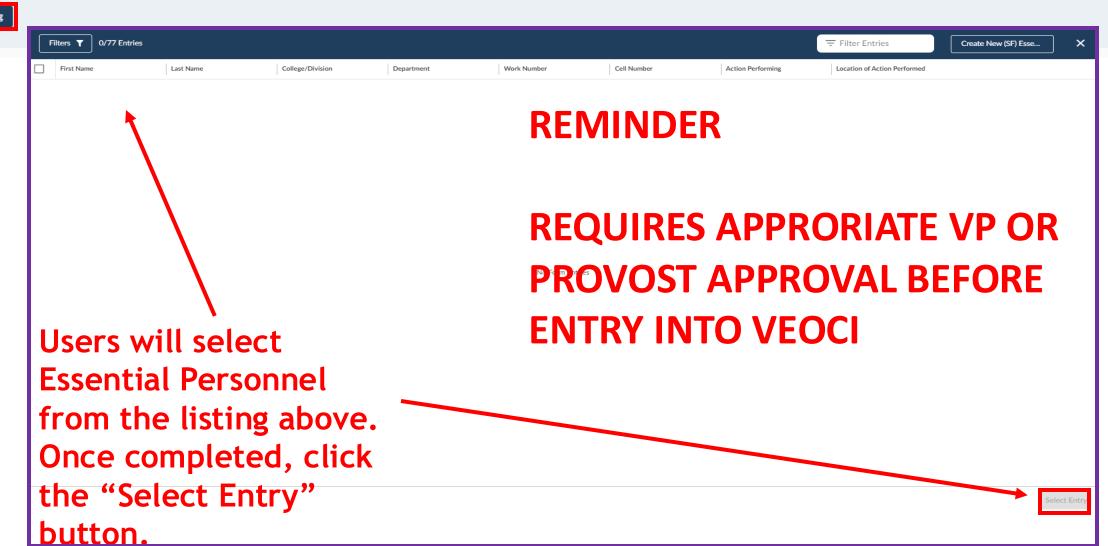


Essential Personnel Performing Essential Functions

Essential functions require personnel to maintain those functions. Complete the Essential/Non Essential Personnel Listing to list all essential personnel required to perform essential functions as the result of a disruption of normal operations.

Essential Personnel

Essential Personnel Listing

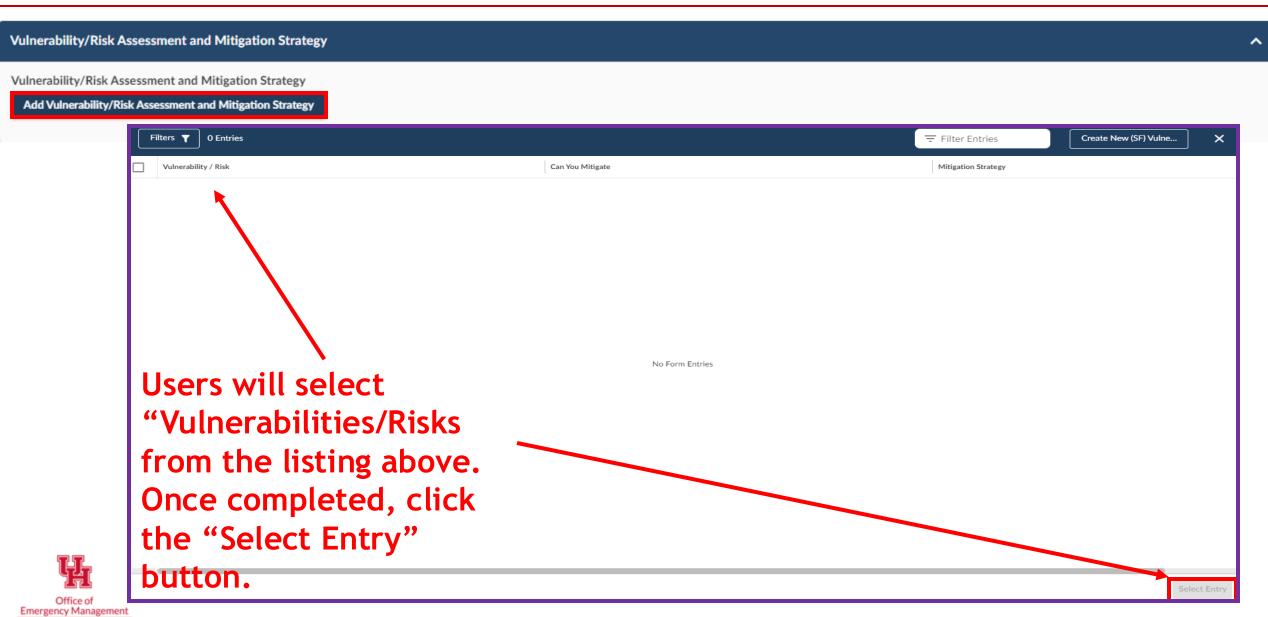




## Vulnerability/Risk Assessment and Mitigation Strategy

Division of Administration & Finance





#### Non-Essential Personnel



#### **Non-Essential Personnel**

Approval for Non-Essential Personnel:

While some functions and research are not considered essential and in need of 24 hour monitoring and or care, they do require some sort of attention or action after a 48 to 72 hour period of time. Some individuals may not be essential personnel but may need to make contact with their labs or offices during a campus closure. Those areas will be required to seek approval through their appropriate Dean or Executive Director and then through their appropriate Vice President to gain access to campus and or their facility during an emergency incident involving a closure of campus.

A listing of non-essential personnel needing to gain access to campus during a campus closure after the immediate life safety threat has passed must be included on the Essential/Non Essential Personnel Listing. The listing of individuals, along with the approval of this plan, dictates that the appropriate Vice President has approved for these individuals to gain access to campus during a campus closure after the immediate life safety threat has passed.

If you DO NOT have Non-Essential Personnel Select "Submit to Dean/Appropriate VP for COOP Approval" to continue

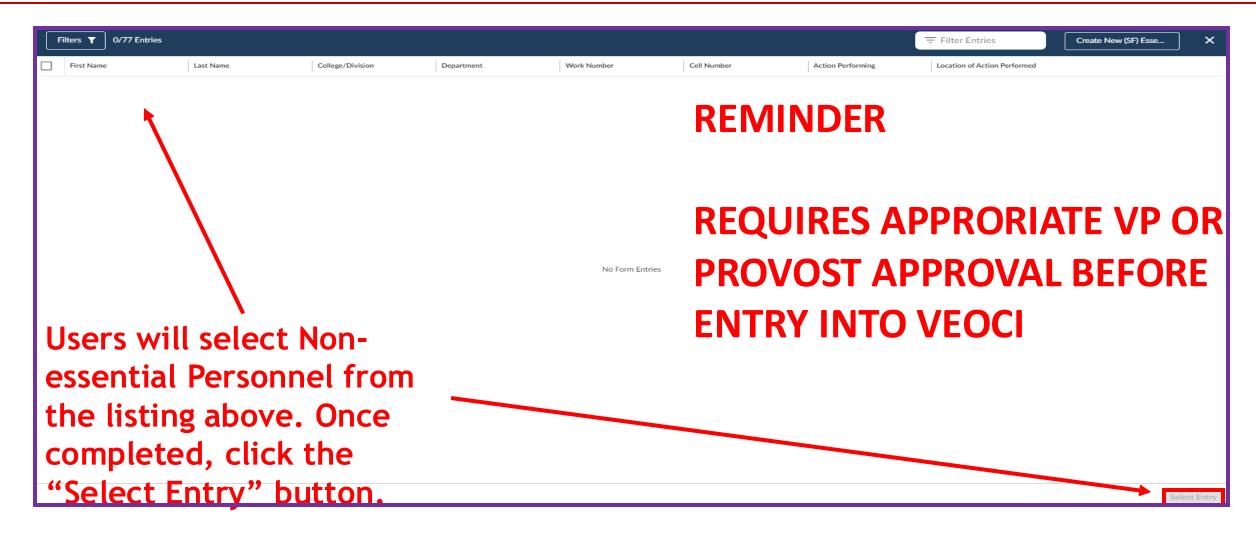
Approval for Non-Essential Personnel

Add Approval for Non-Essential Personnel



## Non-Essential Personnel (cont.)







## Submitting Plan to Dean/Appropriate VP for COOP Approval







# Approving the COOP Plan (Dean or VP)

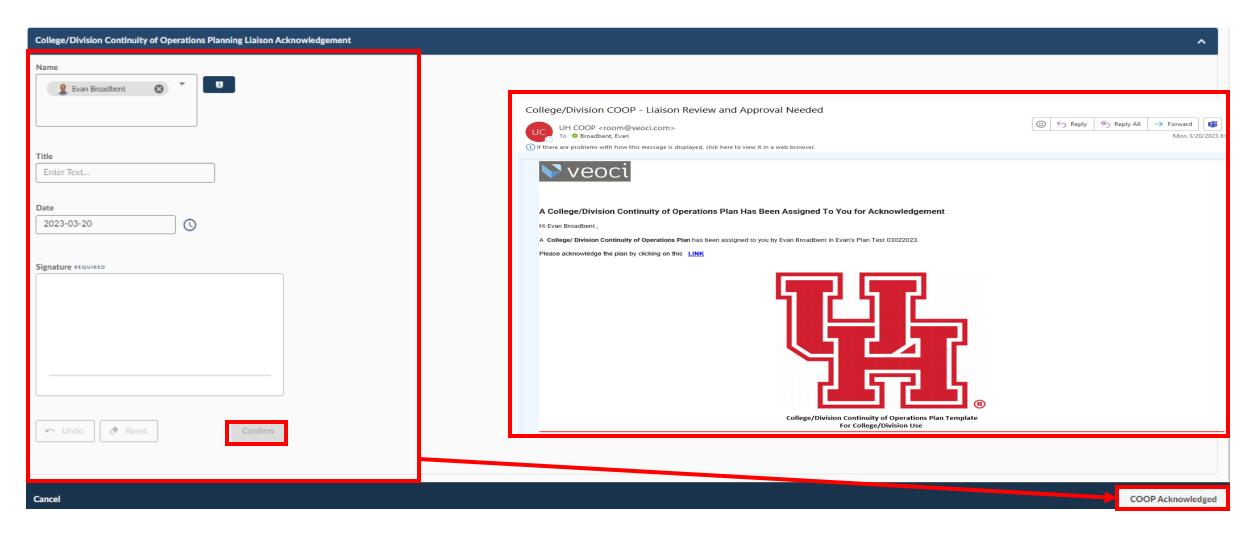


Continuity of Operations Plan Approval (College/Division Approval - Dean or Appropriate VP)		^
Name		
Enter Text	Veoci - College / Division Continuity of Operations Plan - Continuity of Operations Plan Approval (College/Division Approval - Dean or Appropriate VP) (#726) Assigned To You	
Title	UH COOP <room@veoci.com> To ● Broadbent, Evan</room@veoci.com>	
Enter Text	() If there are problems with how this message is displayed, click here to view it in a web browser.	
Date 2023-03-20	The College/Division Continuity of Operations Plan Is Now Ready For Your Review and Approval	
Signature REQUIRED	Hi Evan Broadbent,	
	A College/ Division Continuity of Operations Plan has been assigned to you for your review and approval by Evan Broadbent in Evan's Plan Test 03022023.  Please approve the plan by clicking on this LINK	
Acknowledge  Acknowledge  Send Back  Comments  Provide a comment of your requested edits or feedback for the College/Division liaison to make the	College/Division Name:	
appropriate changes.		
Enter Text		
Cancel	Send Back For Edits	Approve COOP



## Approving the COOP Plan (cont.)

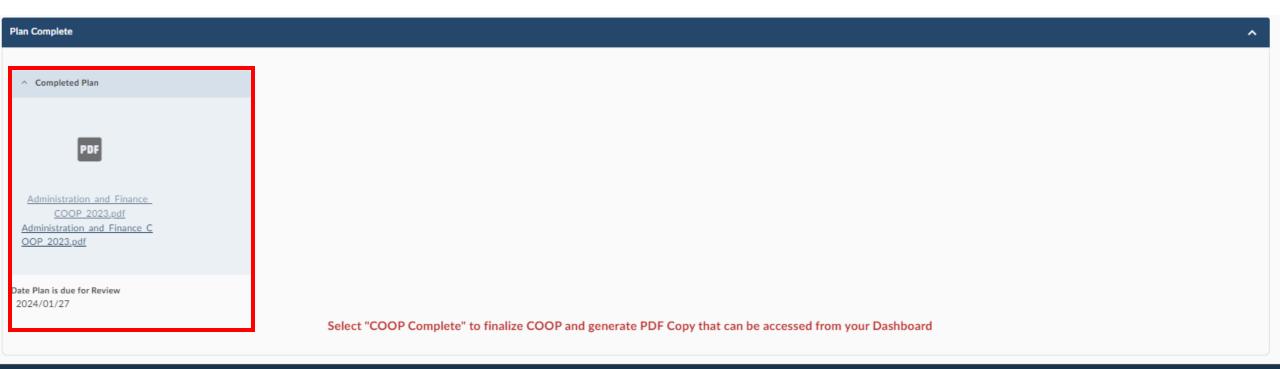






# **Approved COOP Plan**











## Final Approved COOP Plan



ij.

→ Forward

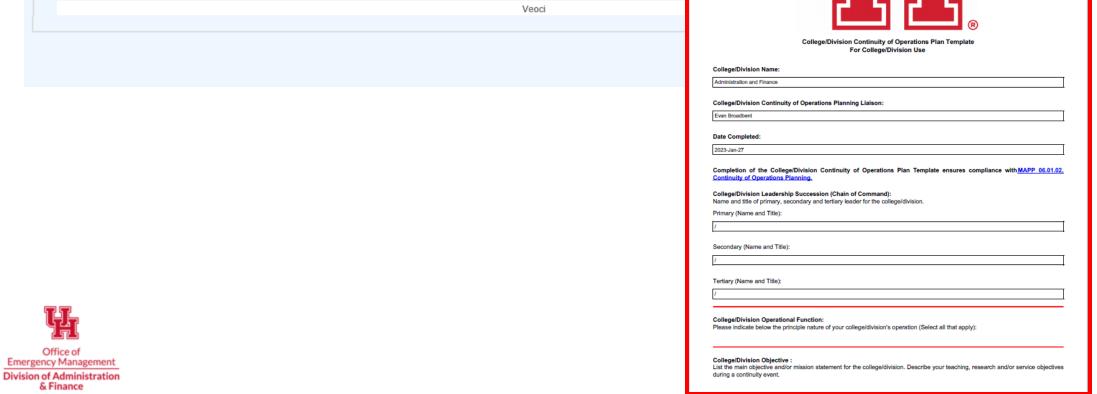
← Reply

Reply All

Final College/Division COOP Copy







#### **Additional Information**



- DUE JUNE 1st
- Per request, OEM can come out to the requested department/college/division for additional support
- Any additional support/troubleshooting, please contact Ginger or Kenneth



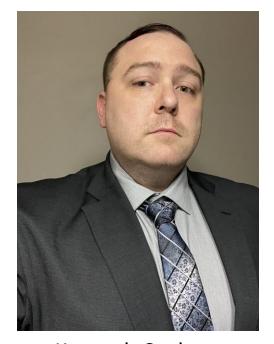
## University of Houston COOP Points of Contact



### We are always here for any COOP questions or concerns you may have!



Ginger Walker
Director, Emergency Management
Email: gkwalker@uh.edu



Kenneth Cochran
Emergency Management Specialist
Email: <a href="mailto:ktcochra@central.uh.edu">ktcochra@central.uh.edu</a>



# Hands On - Logging into Veoci

Office of **Emergency Management** Division of Administration & Finance



